

PCT

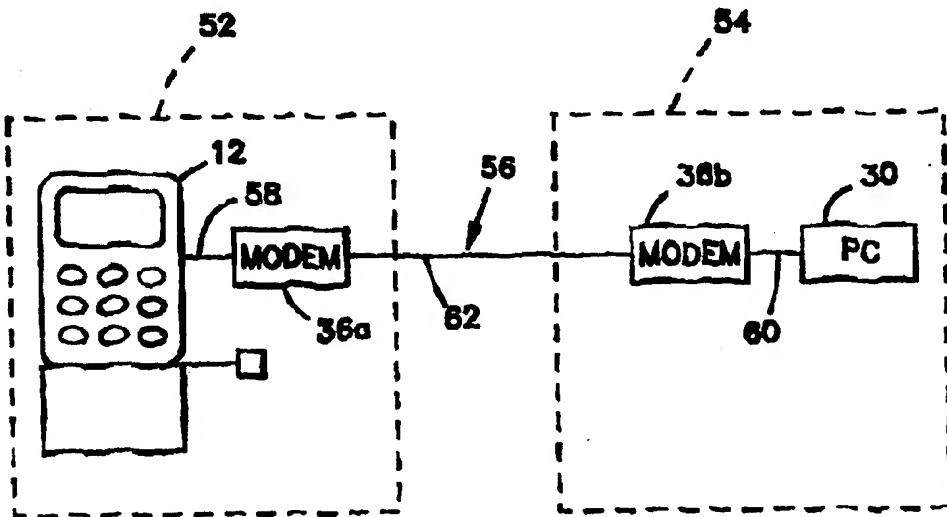
WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> :	A1	(11) International Publication Number: WO 95/02426
A61M 5/172		(43) International Publication Date: 26 January 1995 (26.01.95)
(21) International Application Number:	PCT/US94/07582	(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LT, LU, LV, MD, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD).
(22) International Filing Date:	7 July 1994 (07.07.94)	
(30) Priority Data:		
08/090,738	13 July 1993 (13.07.93)	US
(71) Applicant:	SIMS DELTEC, INC. [US/US]; 1265 Grey Fox Road, St. Paul, MN 55112 (US).	Published <i>With international search report.</i>
(72) Inventors:	BLOMQUIST, Michael, L.; 2389 132nd Avenue N.W., Coon Rapids, MN 55448 (US). PETERSON, Thomas, L.; 1282 Woodcrest Avenue, Shoreview, MN 55126 (US).	
(74) Agent:	BRUESS, Steven, C.; Merchant, Gould, Smith, Edell, Welter & Schmidt, 3100 Norwest Center, 90 South Seventh Street, Minneapolis, MN 55402 (US).	

(54) Title: MEDICAL PUMP AND METHOD OF PROGRAMMING



(57) Abstract

The present invention relates to systems and methods for operating ambulatory medical devices, such as drug delivery devices. The pump includes the pump control system for activating a pumping mechanism wherein the pump control system includes a processor, a flash memory electrically interconnected to the processor for storing pump operation information, and a communications port electrically interconnected to the flash memory to permit transfer of the pump information into the flash memory from outside the pump. Communication with the pump can be through a computer system located locally or remotely with respect to the pump. The flash memory is utilized to initially program the pump electronically, or to reprogram the pump to change operation of the pump.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

## MEDICAL PUMP AND METHOD OF PROGRAMMING

### FIELD OF THE INVENTION

The present invention relates generally to  
5 ambulatory medical devices and methods for operating  
such devices. In particular, the present invention  
relates to drug delivery operating systems and methods  
for operating drug delivery devices.

10

### BACKGROUND OF THE INVENTION

Various ambulatory medical devices are known  
for treating and/or monitoring patients at a remote site  
away from the caregiver's office. One example of an  
ambulatory medical device is a drug delivery device,  
15 such as a drug pump, for providing drug delivery to the  
patient when the patient is away from the caregiver's  
office.

Certain drugs rarely achieve their maximum  
therapeutic action through conventional injection  
20 techniques. Many drugs reach their full potential only  
through precise delivery over an extended period of  
time. With controlled drug infusion through a drug  
pump, the drug can be given at a precise rate that will  
keep the drug concentration within the therapeutic  
25 margin and out of the toxic range. Ambulatory drug  
pumps can provide appropriate drug delivery to the  
patient at a controllable rate which does not require  
frequent medical attention and which allows the patient  
to leave the hospital or caregiver's office.

Existing drug pumps are known to include a processor and a memory device for controlling operation of the pump. In addition, some pumps have various sensors, switches, and devices associated with the 5 pumping operation of the pump.

There is a need for increased sophistication with respect to the drug therapies administered by the drug pumps in order to better treat patients and to reduce health care expenditures by reducing doctor 10 visits and hospital stays.

Controlling operation of the drug pumps in sophisticated therapies is becoming an increasing concern. There is a need for some pumps to be used over a period of time for very different therapies, such as 15 chemotherapy, pain control, nutrition, or antibiotic therapy, for the same or different patients. If the therapy type cannot be changed, or if the therapy type cannot be changed easily, the caregiver must maintain an inventory of pumps with each desired therapy type. 20 Moreover, updates or changes in features of the therapies become a problem if the operating systems of the pumps cannot be changed or if the pumps are not easily changeable. Customization of the pumps is difficult or impossible.

25 Within each of the broad classes of therapies and other therapies, there are often patient specific parameters which need to be addressed. For example, some desired patient specific parameters may take into

account such items as patient weight, and/or the severity of the patient's particular condition. One concern relates to whether and to what extent the pumps can be set for patient specific therapies.

5        If the pump therapy types and/or the patient specific parameters are changeable, there is a need for these changes to be easy for the caregiver to make. Further, if a problem in the pump operating system develops or if a change in the pump operating system  
10      needs to be made when the pump is away from the caregiver's office, this is a further concern.

Also, drug pumps must generally be reliable and durable in the settings in which they are used. Since the pumps are ambulatory, the patient may expose the  
15      pump to various environmental conditions and/or impurities that could damage fragile components of the pump. Failure of the drug pump to deliver the appropriate dosage of drug to the patient can be harmful to the patient.

20       There is a need for operating systems and methods for operating ambulatory medical devices, such as drug pumps, which address at least some of the needs and concerns noted above and other needs and concerns associated with the increasingly sophisticated and  
25      complex therapies and devices desired by the health care industry.

SUMMARY OF THE INVENTION

The present invention relates to operating systems and methods for operating an ambulatory medical device, such as a drug delivery device, and in particular, a drug pump. In one aspect of the present invention, a pump is provided including a pump mechanism for pumping fluid from a reservoir through a conduit to the patient. A pump control system is provided for activating the pump mechanism wherein the pump control system includes: a processor for operating the pump mechanism; a flash memory electrically interconnected to the processor for storing at least a portion of pump operation information; and a communications port electrically interconnected to the processor to permit transfer of the pump operation information to the flash memory from an exterior of the pump.

The flash memory permits initial programming and subsequent reprogramming of the pump for any of a variety of different pump operation information to control operation of the pump mechanism and other features of the pump.

The programming of the flash memory takes place through the communications port. Access to the interior of the pump is thereby limited and the interior of the pump is protected from the environment. Further, the communications port is connectable to a computer system located locally to the pump. Alternatively, the pump is connectable through the communications port to a

computer system located remotely to the pump, such as via a modem connection arrangement over the telephone lines.

According to another aspect of the invention, a 5 method for pumping fluid to a patient is provided wherein a pump is provided including pumping structure for pumping a fluid to a patient, and pump control structure for activating the pump structure. The pump control structure includes a processor, a flash memory, 10 and a communications port. Pump operation information is electrically input to the flash memory through the communications port and the processor from a source located either locally or remotely to the pump. The pumping structure is operated with the first pump 15 operation information to pump fluid to the patient.

A further aspect of the invention relates to the method above further comprising electrically inputting second pump operation information to the flash memory through the communications port and the processor 20 and then operating the pumping structure with the second pump operation information to pump fluid to the patient. This aspect of the invention can be utilized when the drug therapy program in the flash memory is to be changed. The second pump operation information can be 25 electrically input to the flash memory from a computer system at a location either locally or remotely to the pump.

Other aspects of the invention relate to downloading a diagnostic program to the flash memory before the flash memory is initially programmed or subsequently reprogrammed with a new operations program.

- 5 Another aspect of the invention relates to utilizing a status program to track the reprogramming of the flash memory and other pump information to generate status information for a status report on the inventory of pumps programmed by the programming source.

10

BRIEF DESCRIPTION OF THE DRAWINGS

In the drawings, where like numerals refer to like features throughout the several views:

- Figure 1 is a schematic diagram of a drug pump  
15 according to the present invention shown linked to a personal computer for communication with the personal computer;

Figure 2 is a schematic diagram illustrating the pump of Figure 1 linked to a personal computer  
20 located at a remote site;

Figure 3 is a schematic diagram of a modem useful in the communication systems shown in Figures 1 and 2 to interconnect a personal computer with a local pump or a remote pump; and

- 25 Figure 4A and 4B together show a block diagram of a control system for the pump shown in Figures 1 and 2.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring now to Figure 1, a system 10 for operation of pump 12 is shown. Pump 12 includes a control module 14 and a replaceable cassette 16 mounted thereto. Cassette 16 provides a fluid reservoir for holding the drug or other fluid to be pumped to the patient. Cassette 16 may include the fluid reservoir in an interior of cassette 16. Alternatively, cassette 16 may include a conduit linking cassette 16 to a remote container of fluid (not shown).

Control module 14 includes an outer housing and a pumping mechanism for pumping fluid from the fluid reservoir of cassette 16 through a tube or conduit 18 to a patient. An example of a pumping mechanism is shown in U.S. Patent No. 4,559,038, incorporated herein by reference. In U.S. Patent No. 4,559,038, the pumping mechanism includes a rotatable cam shaft which engages a reciprocating inlet valve, a reciprocating expulser, and a reciprocating outlet valve. The valves and expulser engage a flexible tube member associated with cassette 16 where the flexible tube member is interconnected to the fluid reservoir. The rotating camshaft moves the valves and expulser to pump fluid through the tube member to the patient. Other pumping mechanisms are anticipated for pumping fluid to the patient.

Tube 18 extends from pump 12 and terminates at a patient end or port 20. Fluid flows from the fluid reservoir of cassette 16, past the pumping mechanism of

control module 14, and through tube 18. Patient port 20 can be interconnected to a patient intravenously.

Control module 14 of pump 12 includes pump control means for activating the pumping mechanism. The 5 pump control means also preferably: controls a display 22; receives inputs from a keyboard 24; and electronically transmits and receives information through an input/output communications port 26.

Keyboard 24 includes at least one key. Keyboard 24 in 10 Figure 1 is shown to include a plurality of individual keys. The pump control means also preferably controls one or more switches, sensors, or devices associated with operation of pump 12.

As will be discussed in more detail below, the 15 pump control means includes a processor 140 for operating the pump mechanism, and a flash memory 150 electrically interconnected to the processor 140 for storing pump operation information (See Figures 4A and 4B). The flash memory 150 is electrically 20 interconnected to the communications port 26 to permit transfer of pump operation information to the flash memory 150 from external of the pump.

Communications port 26 permits the downloading 25 of pump operation information from a computer system 30, such as a personal computer. The computer system 30 typically includes a processor, memory, an operator input means such as a keyboard for inputting data, a data input means such as disk, tape, or card reader, and

a display means such as a monitor for displaying appropriate information to the operator of computer system 30. Computer system 30 can also be utilized to view the pump operation information including any 5 patient specific settings previously input to the memory in pump 12 to speed the reprogramming of pump 12.

Communication means 32 links computer system 30 and pump 12. Included in communication means 32 is a cable 34 or other communication structure 10 interconnecting communications port 26 of pump 12 to a device 36. Cable 38 or other communications structure interconnects device 36 to computer system 30. Pump 12 is preferably not linked directly to computer system 30, since pump 12 may be provided with one or more power 15 supplies other than conventional 110 volt power supplies used to power computer system 30. Device 36 performs an isolation function in Figure 1. It is desirable to electrically protect pump 12 from computer system 30 to protect pump 12 and the patient from any hazards, such 20 as electrical shock, associated with the electrical source power for computer system 30. In Figure 1, device 36 may communicate with computer system 30 through an RS232 serial cable. Similarly, device 36 may communicate with pump 12 through an RS232 serial cable. 25 Device 36 is to be appreciated as an optional device if it is not desired to electrically isolate pump 12 from computer system 30. In that case, an RS232 serial cable can connect pump 12 and computer system 30 directly. As

will be discussed below, device 36 is also configured as a modem for use in transmitting data to and receiving data from a remote location, in addition to the structure for local communication with computer system 5 30 in an isolation function, as shown in Figure 1.

Referring now to Figure 3, device 36 is shown in greater detail. Device 36 includes the pump communications cable 34 which interconnects device 36 to pump 12 at communications port 26. Cable 34 extends 10 from device controller 72 for selective connection to pump 12 at communications port 26. Device 36 further includes a computer communications port 74 for electrically linking modem 36 with computer system 30 through cable 38 as shown in Figure 1 when pump 12 is to 15 be locally downloaded with pump operation information, for example. Communication between local pump 12 and a local computer system 30 may be at 19,200 bps (bits per second) through controller 72 in a pass through configuration.

20 The operations system 10 of Figure 1 is useful for downloading pump operation information from computer system 30 to pump 12. The information can be downloaded to one or more memory locations in pump 12 for storage. Once the information downloading operation is complete, 25 pump 12 can be disconnected from device 36 and cable 34. This permits pump 12 to be conveniently carried about by the patient wherever the patient desires, such as around the home or around the patient's work place. Pump 12 is

preferred to have a disabling function for disabling the fluid delivery system until device 36 and cable 34 are disconnected from pump 12. However, such operation of the pump is preferred but not mandatory.

5 Referring now to Figure 2, a second system 50 for operation of pump 12 is shown. In Figure 2, pump 12 is located at a first site 52. Computer system 30 in Figure 2 is located at a second site 54 which is remote from site 52. Remote communication means 56 permits  
10 communication between the pump 12 and computer system 30. Remote communication means 56 includes a first cable 58 or other communication structure linking pump 12 with a first modem 36a. A second cable 60 or other communications structure links computer system 30 to a  
15 second modem 36b. First cable 58 and second cable 60 may be RS232 serial communication cables. First and second modems 36a,36b may be identical to device 36. However, modems 36a,36b are not operated in the pass through configuration as is device 36 in system 10 of  
20 Figure 1. First and second modems 36a,36b permit communication between remote sites over a communications medium 62 such as conventional telephone lines, cellular phone systems, fiber optics links, satellite links, microwave links, or other remote links. First and  
25 second modems 36a,36b may communicate at 9600 bps over conventional phone lines and include error correction and data compression features.

The second operations system 50 in Figure 2 is useful to download pump operation information to pump 12 located at site 52 from a remote site 54. Remote downloading of pump operation information is useful 5 since pump 12 does not have to be handled by the party who is downloading the pump operation information to pump 12 from the remote site. Site 52 may be the patient's home or work place and site 54 may be the caregiver's office or home. Alternatively, site 52 may 10 be the caregiver's office, and site 54 may be the pump maintenance site or the pump manufacturing site.

As shown in Figure 3, device 36 further includes a remote communications port 76 for linking device 36 to remote sites over communications medium 62. 15 Communications port 76 permits communications between pump 12 and computer system 30 through modem 36b over communications medium 62 with modem 36a located locally with respect to pump 12 as is shown in Figure 2.

As shown in Figure 3, device 36 further 20 includes a local phone communications port 78 to permit interconnection of a local phone (not shown) with device 36. Local phone port 78 permits use of the local phone such that the caregiver or other party can communicate by conventional telephone voice communication with the 25 patient or other party located locally with respect to pump 12, before a modem link is established and after the modem link is terminated.

Device 36 of Figure 3 permits information to be transmitted to and from computer system 30 located either locally with pump 12 or remotely to pump 12. If one mode of communications is not desired, then it is 5 not necessary for device 36 to include apparatus for permitting both local and remote communications capability. For example, modems 36a,36b may not need local communications capability in the pass through configuration if the modems will not be used to link a 10 local computer system with a local pump. Also, for the system of Figure 1, if the only communication anticipated with pump 12 is local, then device 36 need only be constructed with the local communications apparatus to permit the pass through communications with 15 electrical protection of the pump. Finally, if electrical protection is not needed, then only an electrical connection between pump 12 and computer system 30 needs to be provided in general and a direct cable download can be utilized.

20 Referring now to Figures 4A and 4B, a block diagram is shown for a control system 100 of control module 14 of pump 12. Control system 100 controls operation of all the major functions of pump 12. Control system 100 of Figure 4 includes display 22. 25 Display 22 may be an LCD-type display for displaying information to the patient or caregiver.

Status LEDs 104, such as a red LED and a yellow LED, may be provided as part of control system 100 to

provide an easily identifiable visual signal to the patient or caregiver regarding operations of the pump. The status LEDs may be operated to indicate any of a variety of conditions concerning pump 12. It is to be 5 appreciated that other suitable status indicators might be used.

Control system 100 preferably includes keyboard 24 with a plurality of keys. In one preferred embodiment, nine different keys are provided. However, 10 it is to be appreciated any number of keys could be provided with respect to pump 12. Keyboard 24 provides an input structure for the patient or the caregiver to enter information into control system 100 or to activate features of pump 12.

15 Control system 100 includes various sensors, switches, or devices needed or useful in operating the various features of pump 12. A motor position sensor 108 is provided for monitoring the position of the motor of the pumping mechanism. An appropriate signal is 20 generated by sensor 108 and communicated to processor 140.

Beeper 110 provides an audible signal at the desired time to the user of pump 12. An appropriate signal from processor 140 activates beeper 110 at the 25 appropriate time.

External communication sensor 112 senses when a communications cable connection is made with respect to pump 12 at communications port 26. An appropriate

signal is generated by external communication sensor 112 and sent to processor 140 indicative of the connection and/or the lack of connection with the communications cable.

5           Motor control switch 114 turns on and off the motor of the pumping mechanism at the desired time based upon signals sent from processor 140. Pump 12 can be intermittently operated a predetermined number of times at predetermined intervals according to the pump  
10 operations program provided to the pump control system 100. These intervals can range from once every couple of seconds or less to as long as a couple of times an hour or more.

Air detector port 116 allows the plug in of an  
15 appropriate sensor to sense air in the fluid conduit between the reservoir and the patient.

AC adapter sensor 120 senses when an AC adapter has been plugged into pump 12 such that the pump is then powered by the alternating current power supply.

20           Cassette latch/lock sensor 122 senses when cassette 16 has been appropriately latched (mounted to control module) and also locked against unlatching from control module 14.

Temperature sensor 124 senses the ambient air  
25 temperature to provide an input to the pump operating program to increase the accuracy of the patient dosage. Tube compression properties can be affected by the ambient air temperature.

Battery sensor 126 senses the presence of a battery supply, such as a nine volt battery. Battery sensor 126 also senses when the battery supply is low.

Pressure sensor 128 senses high pressure in the 5 conduit between the reservoir and the patient to detect when occlusions have occurred.

Cassette type sensor 130 senses indicia on cassette 16 for use in operating pump 12.

Auxiliary battery output port 144 is provided 10 for supplying a source of power to an external accessory of pump 12 from the power supply of pump 12.

Remote dose cord port 146 permits interconnection of a remote dose cord arrangement to pump 12. The remote dose cord arrangement permits the 15 patient to remotely press a key on keyboard 24, such as the key which manually operates the pumping mechanism, via a signal from a remote switch sent through remote dose cord port 146 to processor 140. A signal generated by an appropriate sensor at port 146 is sent to 20 processor 140 to indicate to processor 140 that the remote dose cord has been properly connected to pump 12 or remains properly connected and/or that the remote dose cord has been activated.

A serial communication means 147 is provided 25 for controlling communications access with auxiliary battery output port 144, remote dose cord port 146, and communications port 26 with sensor 112 in a serial manner.

Pumping mechanism 148 is illustrated as being controlled by motor control switch 114 and monitored by motor position sensor 108. Pumping mechanism 148 is responsible for pumping fluid from the reservoir to the 5 patient. As noted above, one possible pumping mechanism includes a rotatable cam shaft with tube engaging followers reciprocally mounted to move as the cam shaft rotates.

The various sensors, switches, and devices in 10 control system 100 generate and/or receive an appropriate signal or signals during communication with processor 140 during operation of pump 12. Processor 140 is electrically interconnected through an appropriate interface bus 142 with all of the various 15 sensors, switches, and other devices of pump 12. The processor 140 responds to input signals by generating appropriate control output signals in accordance with the program control logic stored in memory. One preferred processor 140 that may be used in connection 20 with pump 12 is an MC68HC11E9 high-density complimentary metal-oxide semiconductor (HCMOS) high performance microcontroller unit (MCU) by Motorola. Such processor includes 512 bytes of electrically erasable programmable read only memory (EPROM), and 512 bytes of random access 25 memory (RAM).

Core processor 140 is further electrically interconnected to flash memory 150 and a static random access memory (RAM) 152. A real time clock 154 is also

provided. Battery 156, such as a lithium cell, provides a power supply to the real time clock 154 and the static RAM 152. LCD contrast device 157, LCD row drivers device 158, and LCD column drivers devices 160,162 5 comprise at least a part of the control circuitry of display 22.

The flash memory 150 is utilized to store pump operation information which is accessed by the processor 140 for operating pumping mechanism 148 and the other 10 sensors, switches, and devices of pump 12. Flash memory 150 permits pump operation information to be initially electrically written to flash memory 150 and subsequently stored in flash memory 150. Storage of the pump operation information in flash memory 150 is 15 nonvolatile in that it does not require a continuous power supply to flash memory 150 to maintain the information stored in the memory. At a later time, flash memory 150 can be electrically erased, and rewritten with different pump operation information.

20 In one preferred embodiment, the program needed to run pump 12 is stored in the flash memory 150. Patient specific settings for pump 12 can be input via keyboard 24 or communications port 26 and stored in flash memory 150 or memory associated with processor 25 140. Examples of patient specific settings include rate of infusion, length of infusion, bolus information, security codes, and patient weight and sex. It is preferred to store such patient specific pump operation

information in the memory of processor 140 or other memory location other than flash memory 150 due to limitations of flash memory 150 with respect to the number of times the memory can be erased and rewritten.

- 5 Since the patient specific information is typically frequently changed in many of the anticipated uses of pump 12, it is not desireable to store this type of information in flash memory 150.

Static RAM 152 can be utilized to store some  
10 information relating to operation of the pump.

Typically, intermediate information concerning pump operations is stored in the static RAM. Intermediate information may include calculation results from the pump operations program performed by processor 140.

- 15 Pump usage information regarding pump operation events may be stored in static RAM 152, such as the number of start and stop events, the number of cassettes attached, and the total dosage pumped. An additional location for storage of pump operation information is the memory  
20 associated with the real time clock 154.

Flash memory 150 preferably includes a boot program which is preferably non-erasable. The boot program permits initialization and loading of pump operation information to the pump 12 via communications port 26. Further, a gate array 149 and/or flash memory 150 includes appropriate programming to handle incoming data from communications port 26 or keyboard 24 wherein the information is directed to the proper storage

location if the information is not to be stored in flash memory 150. For example, remote programming may be utilized to enter the patient specific information into control system 100. The patient specific information 5 may be entered initially or when changes occur over time due to changes in the specific therapy needed. For example, if the patient's condition improves or worsens, changes may need to be made in the specific patient settings. The flash memory 150 may include the 10 appropriate program or programs to direct storage of the patient specific settings to the appropriate memory device in control system 100.

Flash memory 150 is an embedded memory associated with control module 14. Once installed in 15 control module 14, flash memory 150 is not removed from pump 12. Flash memory 150 is electrically erasable and reprogrammable and does not require power to maintain the contents of its memory. A variety of flash memories may be used for flash memory 150. An example of one 20 preferred flash memory which is usable in pump 12 is by Intel Corporation, and identified as 28F008SA 8MBIT (1MBIT x 8) Flashfile™ memory. Such memory is useful in pump 12 for handling pump operations information associated with the various features provided on pump 25 12. The Intel product is useful in that it includes separately erasable and reprogrammable blocks of memory, at least one of which can be blocked from erasure once programmed with the desired information.

Processor 140, flash memory 150, static RAM 152, gate array 149, real-time clock 154, and parallel input/output means 164 comprise at least a part of the processor control circuitry of control system 100.

5 As shown in Figure 4B, a voltage reference 166 is provided as part of control system 100 in the preferred embodiment. As shown in Figure 4A, a low battery shutdown and reset device 168 is provided in control system 100 in the preferred embodiment.

10 Pump 12 may be utilized for a variety of different therapy types or applications. For example, pump 12 may be used as a pump in: 1) a pain control therapy, or patient controlled analgesia; 2) a nutrition therapy, or total parenteral nutrition therapy; 3) a 15 chemotherapy program or therapy; or 4) an antibiotic therapy. Other applications are possible. The different applications may involve different operations of pumping mechanism 148 and the other switches, sensors, and other devices in pump 12. For example, the 20 volume of fluid per unit time pumped by the pumping mechanism 148 may be continuous or it may be changed over time. A bolus amount (increased dosage) pumped by the pumping mechanism 148 may be provided once or at periodic intervals. The bolus amounts and/or the bolus 25 intervals may be varied over time. The patient may be given limited ability to increase the dosage when the patient desires by causing extra activations of the pumping mechanism 148 through a dose key.

As another example, there may be lock out access to the patient wherein various keys of keyboard 24 are inoperative such that the patient cannot change the therapy prescribed by the caregiver unless the 5 caregiver removes the lock out feature. Each application may have different lock out features.

Calculations by the processor may be needed to achieve a certain total dosage over a certain time even though the patient may be able to increase the dosages 10 at one or more times during the therapy. Inputs to pump 12 may include reservoir size, activation amount, and/or drug concentration. In some cases, it is desireable for pump 12 to calculate the desired number of activations and intervals to achieve a certain drug level in a 15 patient having a certain sex and weight. Each application may involve different calculations performed by pump 12.

Other sensors, switches, and devices of pump 12 may be operated differently in different therapies. The 20 pump control program stored in the memory of pump 12 including flash memory 150 includes information relating to the various aspects of pump 12 needed to deliver the appropriate therapy. In one preferred pump 12, flash memory 150 contains the general application or operating 25 program (such as pain, nutrition, antibiotic, or chemotherapy) which is accessed by processor 140 during usage of pump 12. It is preferred that only one application be stored in flash memory 150 as a safety

precaution against the caregiver or the patient inadvertently running the wrong program.

The patient specific information needed to operate pump 12 for the specific application is stored 5 in the memory associated with processor 140 and is utilized by the processor 140 when needed with respect to the pump applications program. Any intermediate calculation information or other pump information, including specific pump usage information, may be stored 10 in any of the various memories. Static RAM 152 provides a useful memory location for storage of the intermediate information.

Pump 12 can be programmed from computer system 30 in a variety of different ways. In one method, 15 computer system 30 can include a single pump application program stored in its memory. The caregiver or pump supplier would download the pump application program from computer system 30 to flash memory 150 of pump 12. Alternatively, computer system 30 can include a 20 plurality of different pump application programs. The caregiver or pump supplier can select the desired program to be downloaded to flash memory 150 of pump 12. Alternatively, computer system 30 can include one or more pump application programs that each include options 25 for selection by the caregiver or pump supplier for each pump application program. Once the options are selected, the caregiver can download the pump application program generated by the caregiver to flash

memory 150 of pump 12. In the above methods, it is anticipated that the caregiver would not generate the code for the pump application program. The code would be supplied by the pump supplier or other programming specialist. This is a safety feature as well as convenience to the caregiver. The caregiver need only be familiar enough with computer system 30 to set up the link to pump 12 and then select and download the appropriate pump application program. It is to be appreciated that, in some cases, the caregiver will have sufficient expertise to generate the code for the pump application program. Similar safety and convenience features are present with respect to any programming of the patient specific parameters downloaded from computer system 30. User prompts are preferably provided for requesting the caregiver to enter the particular settings when entered via computer system 30.

Flash memory 150 is used to advantage in pump 12. Unlike removable memory devices, there is no large opening in the pump housing associated with the memory which needs to be protected from tampering, contaminants or moisture. No fragile parts are accessible via communications port 26 from a mechanical protrusion as they would in the case of card readers having relatively large openings to receive the card. The communications port 26 is relatively easy to protect from contaminants and moisture. There is also no loose cartridge or card that could be dislodged, tampered with, damaged or lost.

The patient or the caregiver does not need to be concerned that the removable memory device, such as the card or cartridge, is properly positioned in the opening in the pump as in the case of pumps utilizing the 5 separate memory cards or cartridges. This is especially important when the patient is using the pump in an unsupervised location. Should a card or cartridge become dislodged from the pump, there is a concern that the pump would cease operation and the patient would be 10 unable to restart the pump. The use of flash memory 150 eliminates these problems.

Another advantage of flash memory 150 is that neither the caregiver nor the patient needs to maintain any separate memory cartridges or cards for different 15 therapies. There is no need for the caregiver to keep track of any cards, cartridges or other pieces separate from the pump. Disposal or destruction of outdated cards is no longer a problem. Since no card or cartridge reader needs to be provided, there is a 20 reduction in the size of pump 12.

Another advantage of providing flash memory 150 is that there is no need to open up the housing and remove a chip or other wired-in-memory device in order to reprogram the pump. Changes to the applications 25 stored on flash memory 150 are done electronically via the input/output communications port 26. Virtually any programmed function of pump 12 can be electrically changed if desired. As yet undeveloped improvements can

be added as they are completed. Caregivers do not need to worry about their pumps becoming obsolete as long as new operating programs are developed. There are no mechanical changes needed for the memory connections to  
5 the rest of the pump control system when the flash memory is reprogrammed. Handling of the new chips prior to and during installation is no longer a concern. Disposal or destruction of the old chips is no longer a problem. Applications updates needed by the caregiver  
10 can be handled via a floppy disk mailed to the caregiver whereby the caregiver can download the updated program to the pump or the inventory of pumps maintained by the caregiver. Alternatively, the applications updates can be transmitted over the telephone lines via modems to  
15 the caregiver. No special expertise is needed to reprogram as is the case of pumps where chips must be removed and replaced to change the memory.

Pump 12 provides system of pumping fluid to a patient where pump 12 is very flexible in how the  
20 control system 100 operates. Unlike pumps using EPROM memory for storing the pump operating program, pump 12 with control system 100 is easily changeable as needs and circumstances change. No chips need to be removed or specially handled to reprogram. At the same time,  
25 pump 12 is tamper resistant, contamination resistant, and reliable during operation, unlike pumps with replaceable cards.

A further advantage of flash memory 150 is the ability to remotely program flash memory 150. Such remote programming is not possible with cards or cartridges which need to be changed, or replaceable  
5 EPROMs which need to be physically handled and reprogrammed. Remote programming can be done initially prior to the first use of the pump or at a later date after initial operation of the pump. The applications can be easily reprogrammed if a bug is identified or if  
10 improvements are made in the application program.

Applications updates needed by the caregiver can be handled via the telephone lines. Also, changes can be made to the operating program midway through the therapy to address changes in the patient's condition.

15 Another advantage of the present invention is that custom programs for caregivers who desire particular operating programs for their inventory of pumps are possible through the use of flash memory 150. Individual patients may require a custom program. Flash  
20 memory 150 permits the custom program to be quickly downloaded to the patient either locally or remotely via communications port 26. Once the patient no longer needs the custom program, the pump is easily electrically reprogrammed via communications port 26.

25 Flash memory 150 has sufficiently large memory capability to store the operating program needed to run pump 12, including all of the sensors, switches, and devices.

Since a caregiver can reprogram the pump 12 when the pump is needed for a different application, less inventory of pumps is required by the caregiver.

Flash memory 150 permits each pump to be utilized in

5 more than one application over time depending on the immediate needs of the patients. Also, pump 12 may be simpler to operate if only one application is stored in the memory of pump 12. With only one application program stored in the memory, it is not possible for the

10 wrong application program to be selected, once pump 12 is properly programmed. This is a safety feature for protecting the patient from inadvertently receiving the wrong therapy even though a correct drug cartridge is attached.

15 In some illnesses or treatments, a patient may desire successive different uses of pump 12. For example, some chemotherapy programs are preceded by a nutrition therapy to build up the patient's reserves of fluids or other nutrients. In that case, the memory of

20 pump 12 does not need to simultaneously store both a nutrition therapy application and a chemotherapy application. In that case, the patient would utilize pump 12 with a nutrition therapy application programmed into flash memory 150. At the appropriate time, flash

25 memory 150 could be reprogrammed with the chemotherapy application.

Keyboard 24 can intentionally be provided with a limited number of keys to keep operation of pump 12

through keyboard 24 simple. However, some applications and even some patient specific settings may involve numerous inputs such that the use of a standard keyboard, through computer system 30 may be 5 advantageous. Downloading of this information from a computer system 30 is useful since all of the inputs of information can be made through a standard keyboard of computer system 30. The present invention provides the caregiver with the ability to download just applications 10 to flash memory 150, or applications to flash memory 150 and patient specific settings to the other memory locations without entering information through keyboard 24.

Since reprogramming of flash memory 150 can 15 only take place with a computer system 30, electronically monitoring the status of the pumps is easier. An updated status check, using appropriate status check program means stored in computer system 30 and/or in pump 12 (for example stored in flash memory 20 150), can be made of the pump each time there is an application download to the flash memory 150 or each time the pumps 12 are returned to the caregiver after use. The status program means for tracking pump status can help monitor the pumps which are configured for 25 specific types of therapies. The status program means can also include patient name, address and telephone number, and pump location. There are advantages for caregivers and/or pump suppliers to have quick access to

status reports on the configuration of the inventory of pumps maintained by the caregiver or supplier. The caregiver or supplier may need to quickly identify particular pumps in case a problem develops where the 5 pumps must be recalled or reprogrammed. Use of computer system 30 to reprogram pump 12 provides a useful way to tie in status tracking software for automatic tracking of each pump 12. The status program means can include recertification tracking program means which 10 automatically flags pumps needed for recertification of the operating systems.

The status program means can be general to only track pump configuration and/or time since recertification. The status program means can also 15 download specific detailed pump operation information from pump 12 to computer system 30 pertaining to the therapy given. Examples of pump operation information that may be sent to computer system 30 from pump 12 include: drug type used, amount of drug used, type of 20 pump operating program used, any changes to pump operating program, dates of pump usage, and a record of all pump start and stop events, number of cassettes used, occurrence of alarms, and other pump usage events. Such information is useful to the caregiver and to the 25 pump supplier/manufacturer. Some of the relevant status information can be entered via the keyboard of computer system 30, instead of from pump 12, at the time of programming before the therapy or at the time of

reprogramming after the therapy, such as date information.

Another advantage due to the presence of flash memory 150 having to be reprogrammed with a computer system 30 is that appropriate diagnostic program means for checking pump control system 100 and other features can be downloaded to flash memory 150 each time a programming operation occurs. The diagnostic program means need only be temporarily downloaded to flash memory 150. The diagnostic program means runs through various checks of control system 100 to verify that pump 12 and the associated switches, sensors, and devices are functioning properly. The diagnostic program means is then removed or erased from flash memory 150 and the new application program is downloaded onto flash memory 150. In this manner, any errors in pump 12 can be identified each time a pump 12 is programmed. Such diagnostic program can be downloaded to pump 12 initially before pump 12 is ever programmed to operate as a pump, or at a later date when pump 12 is reprogrammed.

The invention is not to be construed as to be limited by the specific embodiments described above or shown in the drawings, but is to be limited only by the broad general meaning of the following claims.

WHAT IS CLAIMED IS:

1. A pump for dispensing a fluid to a patient comprising:
  - (a) pump means for pumping fluid to the patient; and
  - (b) pump control means for activating the pump means, the pump control means including:
    - (i) a processor for operating the pump means;
    - (ii) a flash memory electrically interconnected to the processor for storing pump operation information for controlling operation of the pump means; and
    - (iii) a communications port electrically interconnected to the processor to permit transfer of the pump operation information to the flash memory from an exterior of the pump.
2. The pump of claim 1, further comprising:
  - (a) reservoir means for holding a fluid; and
  - (b) conduit means for linking the reservoir means to a patient, the pump means pumping the fluid from the reservoir means through the conduit means to the patient.
3. The pump of claim 1, further comprising:
  - (a) a computer system including:
    - (i) a processor for operating the computer system;

(ii) memory means electrically interconnected to the processor of the computer system for storing the pump operation information;

(iii) input means for providing the pump operation information to the memory means of the computer system;

(iv) display means for display of the pump operation information; and

(v) a communications port electrically interconnected to the processor of the computer system; and

(b) communications means for linking the communications port of the pump to the communications port of the computer system.

4. The pump of claim 3, wherein the communications means includes a first modem electrically interconnected to the processor of the pump, and a second modem electrically interconnected to the processor of the computer system.

5. The pump of claim 3, wherein the flash memory includes diagnostic program means for identifying errors in the pump.

6. The pump of claim 5, wherein the memory means of the computer system includes status program means for generating status information concerning the pump from

information sent to the computer system from the pump through the communications means.

7. The pump of claim 1, wherein the pump control means includes a random access memory electrically interconnected to the processor for storing additional pump operation information, wherein the pump operation information includes pump application program means stored in the flash memory for operating the pump means, and wherein the additional pump operation information includes patient specific information stored in the random access memory to be utilized by the pump application program means during operation of the pump.

8. The pump of claim 7, wherein the patient specific information is input to the processor of the pump through the communications port.

9. The pump of claim 7, wherein the pump control means further comprises keyboard input means electrically interconnected to the processor for inputting at least a portion of the patient specific information to the processor.

10. The pump of claim 1, wherein the communications port is a cable receiving port.

11. A pump for dispensing a fluid to a patient comprising:

- a) a pump mechanism for pumping fluid;
- b) a processor electrically connected to the pump mechanism;
- c) a flash memory electrically connected to the processor for storing information for control and operation of the pump mechanism; and
- d) a communication port electrically connected to the processor to permit transfer of information to the flash memory from an exterior of the pump.

12. The pump of claim 11, wherein the communications port is a cable receiving port.

13. The pump of claim 11, further comprising:

- a) a computer system including:
  - i) a processor for operating the computer system;
  - ii) a memory connected to the processor of the computer system for storing information;
  - iii) an input device connected to the processor of the computer system for providing information to the processor of the computer system;

- iv) a display connected to the processor of the computer system for display of information; and
  - v) a communications port connected to the processor of the computer system; and
- b) a communications link linking the communications port of the pump to the communications port of the computer system to transfer information therebetween.

14. The pump of claim 13, wherein the communications link includes a first modem connected to the communications port of the pump, and a second modem connected to the communications port of the computer system.

15. The pump of claim 11, further comprising:

- a) a reservoir for holding a fluid; and
- b) a conduit linking the reservoir to the patient, the pump mechanism pumping the fluid from the reservoir through the conduit to the patient.

16. The pump of claim 11, further comprising a random access memory connected to the processor.

17. The pump of claim 16, further comprising an input device connected to the processor for inputting information to the processor.

18. A method pumping fluid to a patient comprising the steps of:

(a) providing a pump system including:

(i) pump means for pumping a fluid to a patient; and

(ii) pump control means for activating the pump means, the pump control means including:

(A) a processor for operating the pump means;

(B) a flash memory electrically interconnected to the processor for storing pump operation information; and

(C) a communications port electrically interconnected to the processor;

(b) electrically inputting first pump operation information as the pump operation information to the flash memory from external of the pump system through the communications port and the processor; and

(c) operating the pump means with the first pump operation information to pump fluid to the patient.

19. The method of claim 18, after step (c), further comprising the steps of:

(a) electrically erasing the first pump operation information in the flash memory;

(b) electrically inputting second pump operation information, different from the first pump operation

information, as the pump operation information to the flash memory from external of the pump system through the communications port and the processor; and

(c) operating the pump means with the second pump operation information to pump fluid to the patient.

20. The method of claim 18, wherein step (b) of claim 18 further comprises the steps of:

(a) linking a local computer system to the communications port of the pump system; and

(b) sending signals representative of the first pump operation information to the communications port of the pump system wherein the first pump operation information is locally input to the flash memory from the computer system.

21. The method of claim 18, wherein step (b) of claim 18 further comprises the steps of:

(a) linking a remote computer system to the communications port of the pump system; and

(b) sending signals representative of the first pump operation information to the communications port of the pump system wherein the first pump operation information is remotely input to the flash memory from the computer system.

22. The method of claim 19, further comprising the steps of:

- (a) before step (a) of claim 19, linking a remote computer system to the communications port of the pump system; and
- (b) sending signals representative of the second pump operation information to the communications port of the pump system to input the second pump operation information to the flash memory wherein the second pump operation information is remotely input to the flash memory from the computer system.

23. The method of claim 18, before step (b), further comprising the steps of:

- (a) electrically inputting pump diagnostic operation information as the pump operation information to the flash memory through the communications port and the processor; and
- (b) operating the pump means with the pump diagnostic operation information to identify errors in the pump system.

24. The method of claim 18, after step (c), further comprising the steps of:

- (a) electrically inputting pump diagnostic operation information as the pump operation information to the flash memory through the communications port and the processor; and

(b) operating the pump means with the pump diagnostic operation information to identify errors in the pump system.

25. The method of claim 24, further comprising the steps of:

(a) electrically inputting pump status program means to the flash memory through the communications port and the processor; and

(b) generating status information concerning the pump system with the pump status program means.

26. The method of claim 19, further comprising the steps of:

(a) before step (b) of claim 18, providing a computer system including an application selection program stored in a memory device, linking the computer system to the communications port of the pump system, running the application selection program to select one of:

- (i) a pain control therapy;
- (ii) a nutrition therapy;
- (iii) a chemotherapy therapy; and
- (iv) an antibiotic therapy

as the first pump operation information, downloading the first pump operation information to the pump system, and disconnecting the computer system from the pump system; and

(b) before step (a) of claim 19, linking the computer system to the communications port of the pump system, running the application selection program to select one of the therapies listed in step (a) above as the second pump operation information which is different from the therapy selected in step (a) above, downloading the second pump operation information to the pump system, and disconnecting the computer system from the pump system.

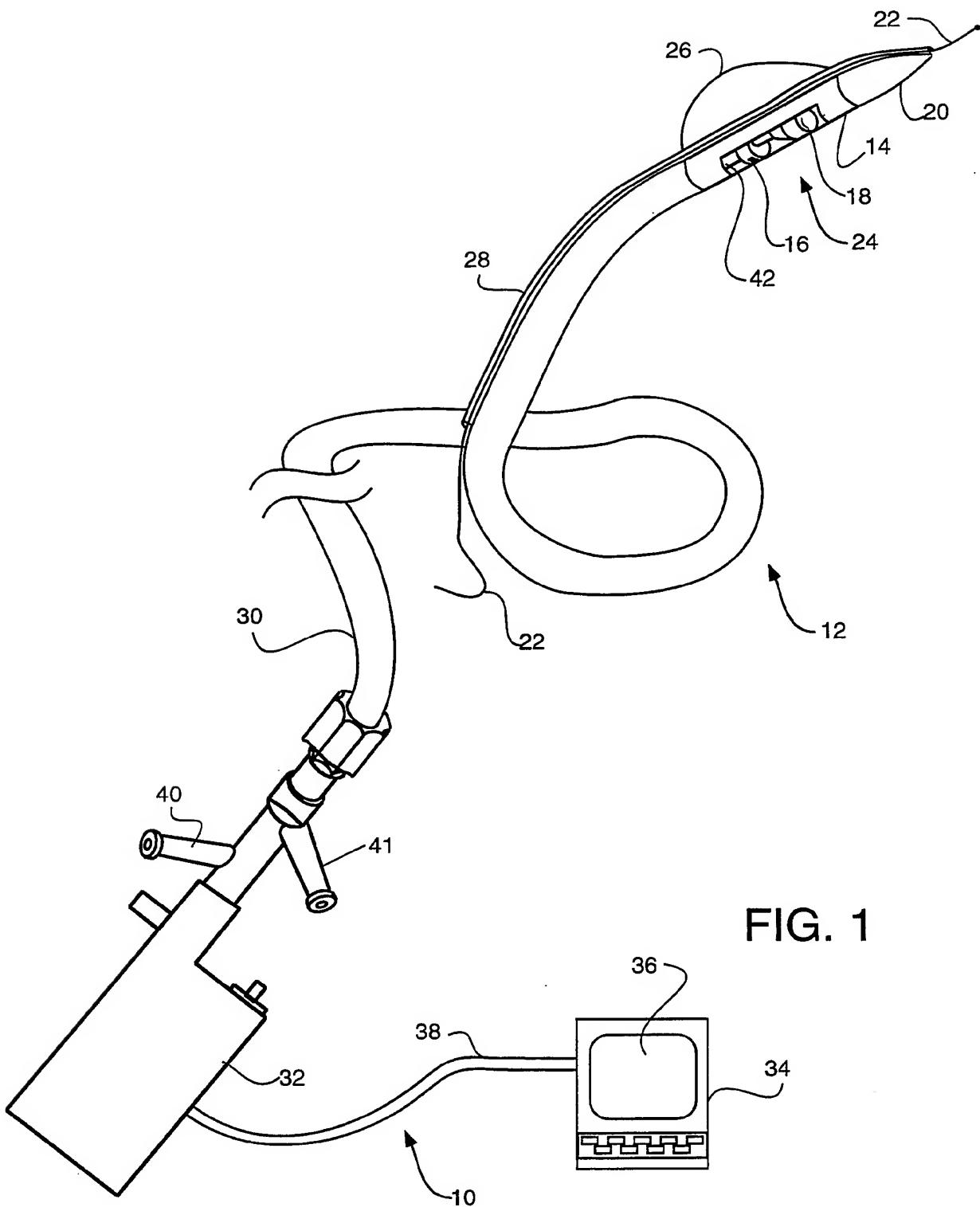
27. The method of claim 18, after step (b), further comprising the steps of:

(a) generating pump status information;  
(b) storing the pump status information in a memory means for storing data in the pump control means; and  
(c) sending signals representative of the pump status information through the communications port to a computer system linked to the communications port.

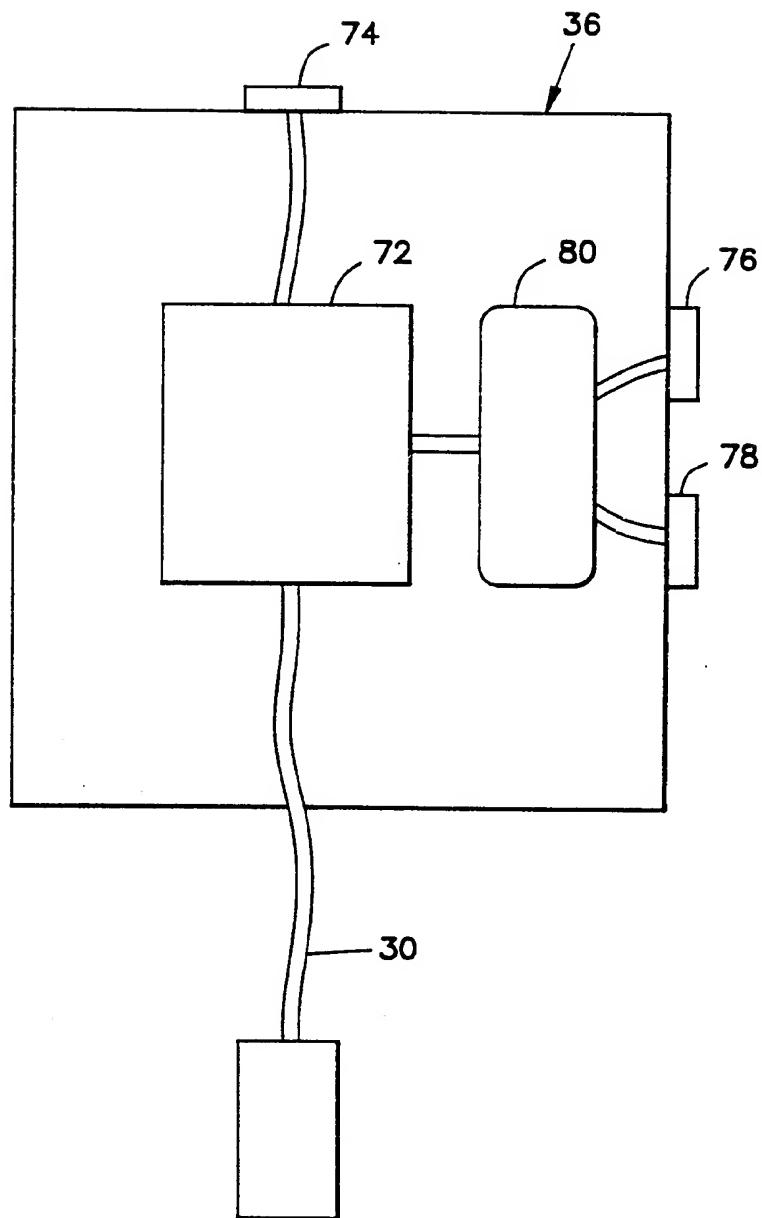
28. The method of claim 18, further comprising the steps of:

(a) running a pump operation application selection program on a computer system external of the pump system;  
(b) selecting from the pump operation application selection program a desired therapy; and

(c) downloading the desired therapy as the first pump operation information to the flash memory of the pump system.



2/4

**FIG. 3**

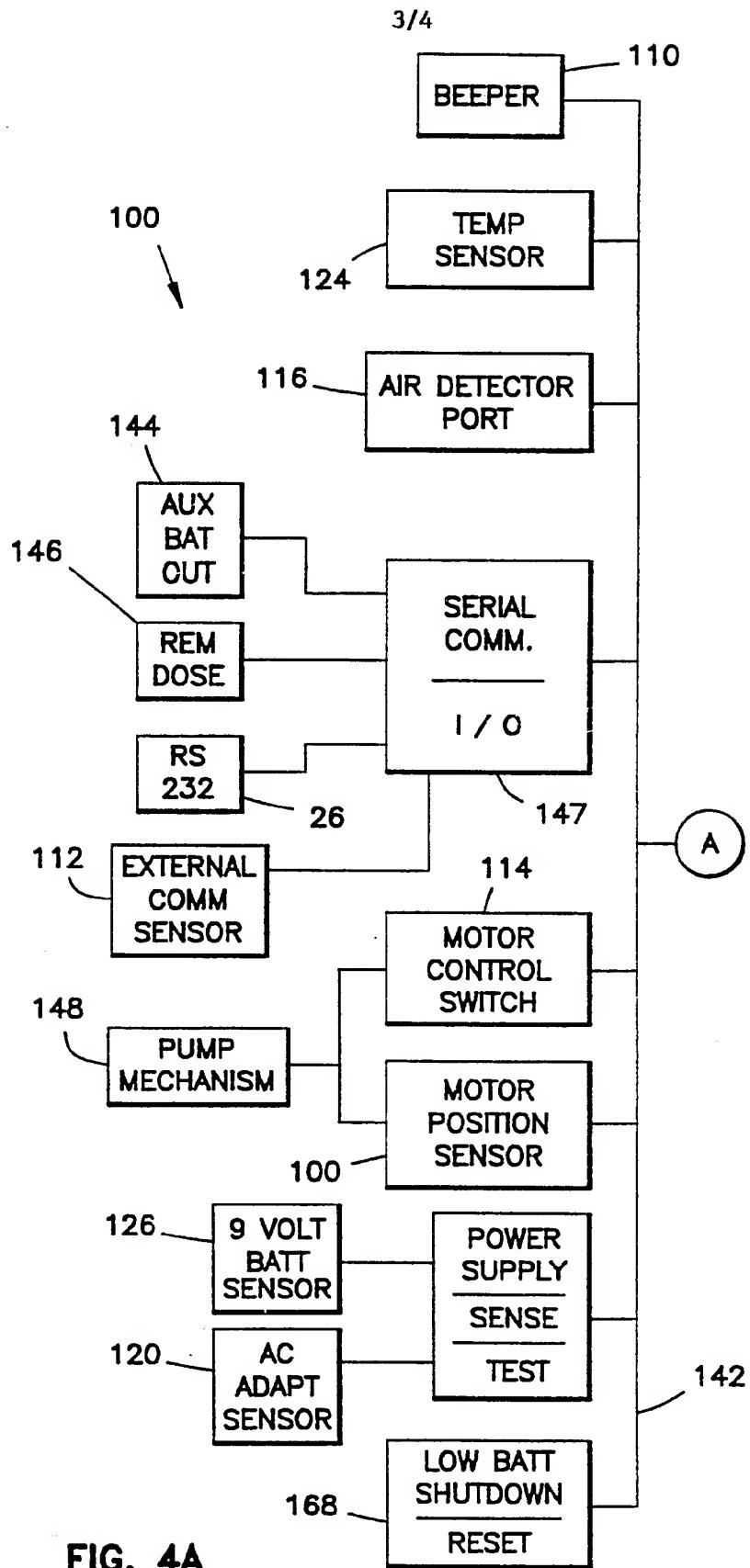


FIG. 4A

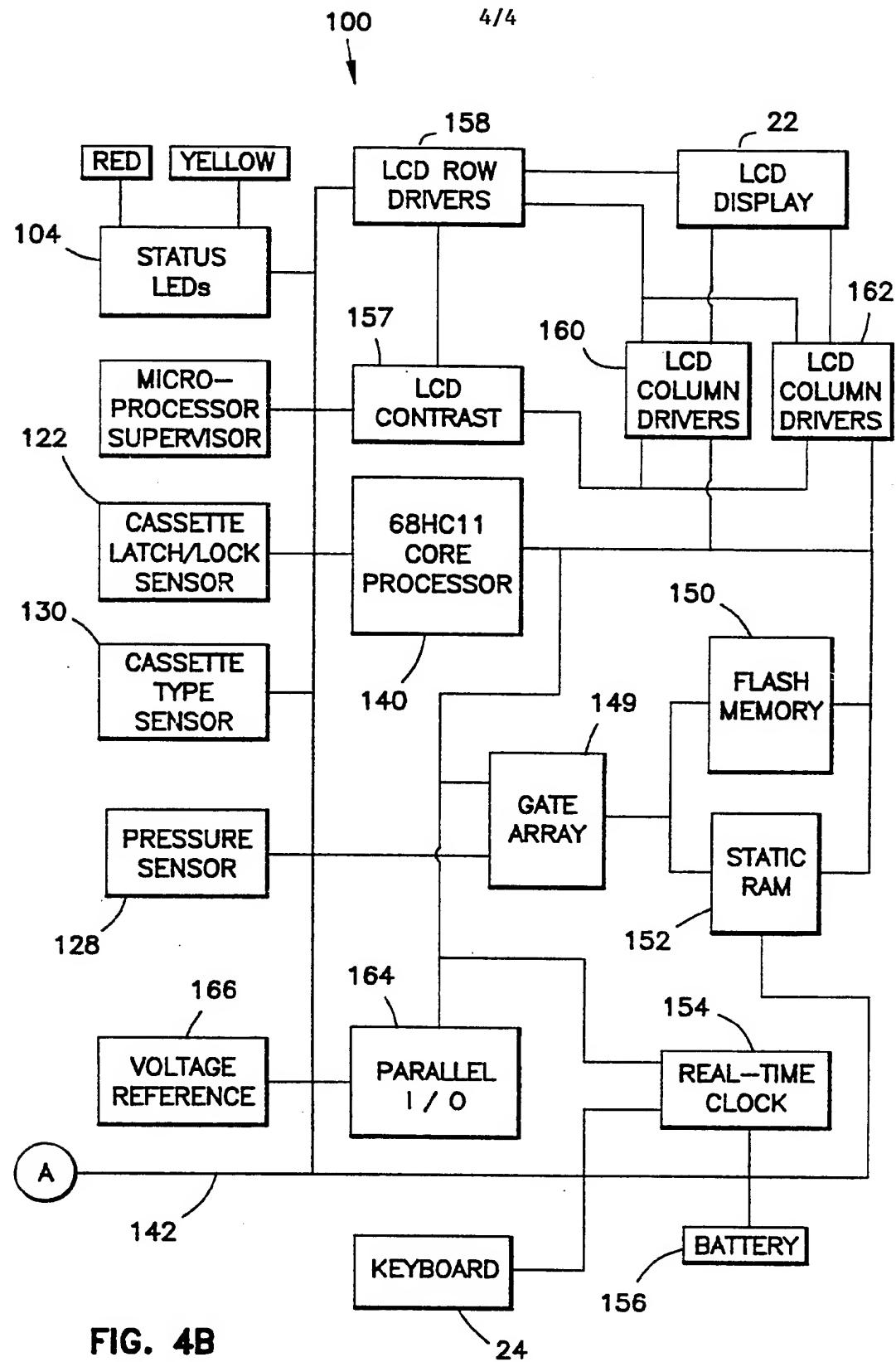


FIG. 4B

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 94/07582

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 A61M5/172

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 A61M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP,A,0 188 288 (INTELLIGENT MEDECINE INC) 23 July 1986 see page 11, line 9 - page 25, line 15; figures ---	1-28
X	EP,A,0 503 670 (SHARP K.K ET AL) 16 September 1992 see page 7, line 15 - page 8, line 45; figures ---	1-28
Y	WO,A,92 15349 (PHARMACIA DELTEC INC.) 17 September 1992 see page 7, line 17 - page 9, line 22; figures --- -/-	1-28

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

## \* Special categories of cited documents :

- 'A' document defining the general state of the art which is not considered to be of particular relevance
- 'E' earlier document but published on or after the international filing date
- 'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- 'O' document referring to an oral disclosure, use, exhibition or other means
- 'P' document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

19 October 1994

Date of mailing of the international search report

28.10.94

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

Clarkson, P

## INTERNATIONAL SEARCH REPORT

International application No. PCT/US 94/07582
--

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EP,A,0 384 155 (B. BRAUN MELSUNGEN) 29 August 1990 see column 3, line 12 - column 4, line 54; figure 1 ---	1-28
P,X	WO,A,94 08647 (THE GENERAL HOSPITAL CORP.) 28 April 1994 see the whole document ---	1-28
A	FR,A,2 603 488 (CUPISSOL) 11 March 1988 see page 7, line 16 - line 35; figure 1 ---	1-28
A	GB,A,2 039 083 (UNIVERSITY OF SOUTHERN CALIFORNIA) 30 July 1980 see page 3, line 8 - column 65; figures ---	1-28
A	US,A,4 624 661 (ARIMOND) 25 November 1986 see the whole document -----	1-28

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.  
PCT/US 94/07582

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
EP-A-0188288	23-07-86	US-A-	4676776	30-06-87
		CA-A-	1267337	03-04-90
		JP-B-	6055224	27-07-94
		JP-A-	61209666	17-09-86
		US-A-	4810243	07-03-89
		US-A-	5088981	18-02-92
EP-A-0503670	16-09-92	JP-A-	5168708	02-07-93
WO-A-9215349	17-09-92	US-A-	5181910	26-01-93
		AU-A-	8753691	06-10-92
EP-A-0384155	29-08-90	DE-A-	3905350	06-09-90
		AT-T-	108338	15-07-94
		DE-D-	59006387	18-08-94
WO-A-9408647	28-04-94	CA-A-	2125693	28-04-94
FR-A-2603488	11-03-88	NONE		
GB-A-2039083	30-07-80	US-A-	4308866	05-01-82
		CA-A-	1146224	10-05-83
		CA-A-	1165403	10-04-84
		JP-A-	55105771	13-08-80
US-A-4624661	25-11-86	NONE		